

UPPS Newsletter 2004-1

January 1, 2004

Department of Personnel 5th Floor, 200 Fair Oaks Lane Frankfort, Kentucky 40601

> Commissioner Robert Ramsey, Sr. Suite 516, 502-564-7430 Fax 502-564-7603

Office for Personnel Administration Suite 530, 502-564-2428 Fax 502-564-5826

Office for Employee Relations Suite 511, 502-564-7911 Fax 502-564-4311

Department of Personnel Web Site http://personnel.kv.gov/

Employee Performance Evaluations

The 2003 Annual Employee Performance Evaluation and the 2004 Performance Plan must both be completed for all eligible employees by COB January 30, 2004. Included in this newsletter is a fact sheet showing the dates and activities which must occur during the required meetings in the performance evaluation process. Please make this information available to all employees. If you have questions please contact your agency evaluation liaison or the Performance Management Branch in the Department of Personnel at 502- 573- 0325.

Invalid Health Insurance Refund Request Form

A new form to use for your invalid health insurance refund requests is included in this newsletter. The Invalid Health Insurance Refund Request Forms should be sent or faxed (502-564-5826) to Shannan Goodrich.

1	Employee Performance Evaluations
1	Invalid Health Insurance Refund Request Form
1	New Phone System at Department of Personnel
2	Report 26
3	Reorganizations and Position Descriptions
3	Agency Payroll and Personnel Staff List
3	Payroll Assistance Is Available
3	System Updates
4	2003 Newsletters Index
6	Employee Performance Evaluation (fact sheet)
7	Invalid Health Insurance Refund Request (form)
8	Department of Personnel Telephone Listing

In order to ensure a prompt response you must provide information as to which carrier the money being processed should go to. If money is to be refunded, be sure to include on the form the amount to be refunded and the reason for the refund.

New Phone System at Department of Personnel

The Department of Personnel at the 200 Fair Oaks location now has a new telephone system. As a result, all phone extensions have changed. For your convenience, a copy of the new phone listing is included in this newsletter.

Report 26

Report 26 in Document Direct is the ACH Transmission Report. This report is created for each regular payroll and details all checking and savings EFTs (Electronic Funds Transfers). It is on Document Direct under AMR8 Payroll Reports (most agencies) and PERPAY2D (DOT, CFC, CHS). It is found near Report 10, usually after Reports 12, 14, 15, and 21. This report is important because it shows to which routing and account numbers EFTs have been sent.

We strongly recommend that you check this report the day after regular payroll runs for any warning messages. The most common warning message is

*** WARNING *** INVALID LENGTH FOR BANK ACCT. # xxxxxxxxxxxx - LENGTH SHOULD BE xx DIGITS.

This warning notifies you that the account length associated with this particular financial institution's routing number is shorter than the account for which the employee has been set up. The system sends a fixed account length based upon the information provided when the financial institution was added to the edit. If a financial institution has an account length of 8 associated with it and you set up an account with a length of 10 digits, the system will truncate the account number, cutting off the first 2 digits and only sending the last 8. When this truncated account number hits the receiving financial institution, it is read as invalid and kicks out. On occasion, the financial institution will manually post the transaction. The majority of the time, however, the money is not posted, is rejected, and is returned to the issuing bank. This results in your employee not receiving his or her money on payday, and a delay in the funds being returned and reissued to your agency either as a check for the employee or as a journal voucher to your agency account.

If you discover a warning message on your report 10, there are a couple of ways to proceed. You may contact the financial institution, tell them the account number to which the funds will be directed, and ask if they will be able to post the funds (the funds are transmitted with the payee's social security number, and some financial institutions will use this to identify and post the funds manually). If the financial institution says it will not be able to post the funds manually to the employee's account, you may request that the EFT be stripped from the tape. This may still result in a delayed return of the funds, but under normal circumstances this is a faster method than waiting for the funds to reject at the receiving institution and be returned. If you need to strip a check, send an e-mail to Yvonne Mahoney requesting that the EFT be stripped. Please include the employee's name as it appears in the master file, the last 4 digits of the employee's SSN, the company number, and the EFT number and net amount. If the account number on the employee's master file needs to be corrected, please contact Yvonne and she can assist with that. If the account number is correct but the length associated with the financial institution needs to be changed, again, Yvonne can assist with that.

The Report 26, however, does not catch all errors. For example, if a routing or account number is wrong but is a valid entry, no warning will be issued. Sometimes you cannot know there is a problem until payday. Whenever an employee reports that his or her EFT did not post on payday, you can look at the Report 26 to see how the funds were transmitted. This allows you to contact the financial institution with that information and determine what the status of the funds is. Armed with that information, you can make a decision as to how to proceed.

The easiest way to search for any warning messages is to use the "search" feature in Document Direct. Open your Report 26 and click on the search icon on the toolbar (it looks like a flashlight and is located approximately in the middle of the toolbar). Enter the word WARNING and click on the Find button. If you get a message that "warning" was not found in 500 pages, then there are no warning messages in your Report 26. If you do locate a warning message, it will be above the entry that is in error. The message will explain the problem. In the event of an invalid account length that has been truncated, the warning message will show the account as it is in the employee master file and the line below, with the employee's EFT information, will show the account as it was transmitted.

Report 26 is fairly easy to navigate. If you want to search for an employee's EFT information in the Report 26, search using the employee's Social Security number, no hyphens. Transaction code 32 is savings and transaction



Report 26continued

code 22 is checking. The transaction code is listed in the left-hand column. Other information, left to right in the report, includes the employee's SSN, name, the amount of the transfer, the routing number (divided between ROUTING NUMBER, ABA NUMBER, AND CHECK DIGIT), the account number to which the funds were sent, and a trace number.

For questions regarding or assistance with EFT issues, please contact Yvonne Mahoney at (502) 564-6883, ext. 4121.

Reorganizations and Position Descriptions

Now is a perfect time to cleanup any positions agencies may have without position descriptions. With most agencies going through reorganizations, we remind you that any current position without a position description in CICS cannot be reorganized. If you would like a listing of positions within your agency without position descriptions, please contact Stephany Ivers at 564-7571.

Agency Payroll and Personnel Staff List

We are asking all Payroll and Personnel contacts to please review the Agency Payroll and Personnel Staff Listing that is located out on the Personnel Cabinet's web-site at personnel.ky.gov under Personnel Information for any information that is not valid or up-to-date on the listing. We are trying to update this listing for accuracy so please check the address, names of contacts and phone numbers. We are asking that you please let us know if you have any changes that need to be made to the listing. Please send any changes to Kimberly Hatter via email Kimberly.Hatter@ky.gov and we will get the changes made.

Payroll Assistance Is Available

Over the last several months, the payroll office has seen some staff changes. Carol Kelien, Shannan Goodrich, and Yvonne Mahoney are all available to assist payroll officers and staff with issues related to W-2s, master file updates, PTL issues, SAS-27s, and other general matters related to payroll. Shannan Goodrich, who has replaced James "Jamie" Mason, is now handling health insurance issues and is available to assist with questions regarding reconciliation of arrears, premium refunds, and related issues. Yvonne Mahoney, who is in Donna Parker's old office, handles EFT issues. Please contact her with any problems or questions related to Direct Deposit. All three staff members are available via either the Global Address List or at (502) 564-6883 at the following extensions: Carol—4120, Shannan—4119, Yvonne—4121.

System Updates

Each day that the system is updated, the system is taken down at 3:00 PM EST in order to extract information that has been updated. The system remains down for approximately 20 minutes and is then brought up again. When the system comes back up, you may again enter information and make updates, but these transactions will not update until after the next time the system is taken down and updated. For example, if the system is scheduled to be updated and go down at 3:00 on Monday, anything you enter Monday before 3:00 will be updated overnight and will show in your edits and on your system on Tuesday morning. Anything entered after the system comes back up on Monday, after 3:00, will not be updated until Tuesday evening and will show in your edits and in the system on Wednesday morning.

On days that the system is not updated, it is not taken down and remains up until 7:00 PM. Anything keyed on those days will not update and you will not see changes until after the next system update.

On the days that regular payroll and supplemental payroll are run, the system is taken down at 1:00 PM. Manual Pay updates (updates of manual pay transactions entered through choice A on the Main Payroll Menu, including SAS 27s, Check Reversals, Worker's Compensation Refunds, and updates for refunds of health insurance premiums, deferred compensation, and retirement contributions) are only processed during regular payroll. All other updates, including POT and POPY, are updated each day that the system is updated. The payroll calendar shows which days have updates, manual pay updates, and the days on which payrolls are run. You may access the 2003 and 2004 payroll calendars at http://kygovnet.state.ky.us/personnel/paysch.htm#2004.

2003 Newsletters Index

Personnel Issues & You Issues 1-12, 2003

http://kygovnet.state.ky.us/personnel/uppsindx.htm

<u>Issue</u> 03-01	<u>Date</u> January 1	Subject Interim Employees Local Tax Change – Clinton County Payroll Classes 2002 W-2 Tax Forms Correction Spectera Utility Changes Annual Employee Performance Evaluation 2002 Newsletter Index
03-02	February 1	 Annual Dues Deducted in February Monthly Payroll Schedules for 2003 List of Creation and Run Dates for EFT Pre-notification Tapes and Datasets Instructions for Accessing the W-2 Application
03-03	March 1	 Important Change for U.S. Savings Bonds Monthly Payroll Schedules for 2003 United Concordia Rate Changes Save More Taxes than Ever Before Changes to the "A" Screen in CICS Savings Bonds Poster
03-04	April 1	 Local Tax Update – Clinton County New Nature of Action Code Y04 New Payroll Employee Payment of Health Insurance Premiums Improved Payroll Manual Updates On-Line Blood Leave
03-05	May 1	 Local Tax Updates Payroll Manual Updates On-Line Changes in Companies Authorized for Payroll Deduction Agency Payroll and Personnel Staff List Updated Personnel Cabinet Telephone Listing
03-06	June 1	 Immediate Family Clarified Life Insurance Premium Changes Name and SSN Must Match on Form W-2 Life Insurance Renewal Rates Typing Tests Eliminated Voluntary Transfer/Demotion/Salary Retention Agreement Form

03-07	ŕ	 Local Tax Updates New Salary Schedule Emergency Employees New Health Insurance System Annual Increment for FY 2003-2004 July 1, 2003 Salary Schedule 	
03-08	August 1	 Local Taxes Retirement Rate Changes New Local Tax Code Multiple State Contribution Report 	
03-09	September 1	 New Payroll Codes Signature Authority Local Tax Updates Two of Personnel Cabinet's Finest Retire Personnel Cabinet Telephone List 	
03-10	October	No Personnel Issues & You issued	
03-11	November 1	 Rate Changes for Optional Payroll Deductions Excess Annual Leave Deleted At Termination Local Tax Updates W-2 Training 	
03-12	December 1	 W-2 Schedule SAS-27 Errors TAX03 Instructions Rate Changes for Optional Payroll Deductions Health Insurance Information Cross Reference (Active and Retiree) Contribution for 21 Counties 	



Employee Performance Evaluation

All eligible employees* must receive an annual performance evaluation for calendar year 2003 from their supervisor by **January 30, 2004**.

All supervisors must establish a performance plan for calendar year 2004 on all eligible employees and meet with the employee to discuss the plan with by **January 30, 2004**.

The supervisor and employee must have an interim review meeting to discuss work progress as relates to the performance plan during the months of **April** and **August**.

Overall Performance Ratings

Outstanding – 450 to 500 points (employee awarded two (2) days annual leave)

Highly Effective – 350 to 449 points (employee awarded one (1) day annual leave)

Good - 250 to 349 points

Needs Improvement - 150 to 249 points

Unacceptable – 149 or less (employee must be demoted or terminated)

For further information, please contact your supervisor or agency evaluation liaison. (See the Employee Evaluation web site at http://kygovnet.state.ky.us/personnel/empeval.htm for liaison listing, employee evaluation handbook, training dates, and other details.)

You may also refer to KRS 18A. 110 and 101 KAR 2:180 or contact the Department of Personnel, Performance Management Branch at (502) 564 – 0325.

*Full time classified employees with status at the beginning of the performance year that have remained in continuous merit status throughout the performance year.

INVALID HEALTH INSURANCE REFUND REQUEST

CABINET NUMBER

PLEASE REFUND THE FOLLING AMOUNTS:			DATE:			
DEPARTMENT NUMBER	NAME	SSN	DED	AMOUNT	PAY PERIOD DEDUCTED	INDICATE IF CHECK SHOULD BE MADE PAYABLE TO EMPLOYEE OR KY STATE TREASURER

*** REMINDER: DO NOT INCLUDE SHORTFALL AMOUNT *** PLEASE RETURN THE CHECK TO:

MANUAL PAY TRANSACTIONS: ENTERED ON POT

ENTERED ON CICS

SEND TO:

SHANNAN GOODRICH

DIVISION OF EMPLOYEE RECORDS

PERSONNEL CABINET

200 FAIR OAKS LANE, ROOM 535, 5TH FLOOR

FRANKFORT, KY 40601 502-564-6883 ext. 4119

FAX 502-564-5826

PAYROLL OFFICER:	
AGENCY:	
ADDRESS:	
-	
-	
PHONE NUMBER:	

DEPARTMENT OF PERSONNEL TELEPHONE LISTING, JANUARY 2004

OFFICE OF THE COMMISSIONER, SUITE 516, (4-7430) BOB RAMSEY Margaret Daniel, x 4004

OFFICE OF ADMINISTRATIVE & LEGAL SERVICES
DIRECTOR'S OFFICE (4-7430)

Charice Hawkins, x 4006 Dave Holzwarth, x 4007 **Burr Lawson, x 4008**Theresa "Terry" Wood, x 4088

Vacant x 4020

ADMIN. SERVICES Walt Gaffield, 4-7409, x 4021 Suzette Gash, 4-7409, x 4022

Elinda Manley, 4-7409, x 4023 Lisa Wilson, 4-7409, x 4025

STATE EEO Singer Buchanan, Jr., Jamille Smith, x 4009 Bruce Trent, 573-0318, x 241 GENERAL COUNSEL

Sue Britton, x 4002 Dan Egbers, 4-7430

SYSTEMS MANAGEMENT

(ROOM 529, 4-0198) Brad Atkinson, x 4027 Jeanne Campbell, x 4028 Diane Collins, x 4029 Connie Gregory, x 4030 Travis Humphries, x 4031 Neal Lanham, x 4032 Susan Stinnett, x 4033 Joanna Warhus, x 4034 Beverly Wilhoite, x 4035 Ferlin Wright, x 4036 Vacant x 4037

Computer Room, x 4040, 4041, 4042,

(4-9097)

Jonathan Cordier, x 4064 Tara Moore, x 4071

Trent Murphy, x 4066 Eric Poston, x 4067

Brenda Roark, x 4068

Cindy Stivers, x 4069 Cindy Thomas, x 4070

OFFICE OF PUBLIC EMPLOYEE HEALTH INSURANCE ROOM 501 (4-0358) **DIRECTOR'S OFFICE** DATA ANALYSIS

Jackie Beach, x 4044 Tonya Brown, x 4186 J.R. Dobner,

Carl Felix, x 4047 Sharley Hughes, x 4049 Jill Hunter, x 4048 Dera Lindsay, x 4065 Marsha Morris , x 4050 James Ross, x 4046 Vacant, 4051

MEMBER SERVICES ROOM 501 (4-6534) 888-581-8834

888-581-8834
Glenn Brothers, x 4052
Cindy Dempsey, x 4053
Sharon Gilbert, x 4054
Merla Graves, x 4055
Mae Green, x 4056
Jerry Jones, x 4057
Susan Popp, x 4058
Donna Scott, x 4059
Clara Serafini, x 4060
Sandra Shelton, x 4061 Sandra Shelton, x 4061 Hannah Stanfield, x 4062 Vacant, x 4063

ENROLLMENT INFORMATION ROOM 503 (4-1205)

ROOM 501 (4-6534)

888-581-8834
enn Brothers, x 4052
dy Dempsey, x 4053
arron Gilbert, x 4054
rla Graves, x 4055
e Green, x 4056
ry Jones, x 4057
ann Popp, x 4058
nna Scott, x 4059
ara Serafini, x 4060
adra Shelton, x 4061
nnah Stanfield, x 4062
cant, x 4063

KY PUBLIC EMPLOYEES DEFERRED COMP AUTHORITY
105 SEA HERO ROAD, SUITE 1 (573-7925) 800-542-2667

EXECUTIVE DIRECTOR
Robert C. Brown
Pat Goodlett
Chris Helvey
PAYOUT COUNSELING
Dick Ernst
Julie Gordon
Julia Holbrook
PICE FOR EMPLOYEE RELATIONS
DIRECTOR'S OFFICE SUITE 511 (4.7911) DIRECTOR'S OFFICE, SUITE 511 (4-7911)

Vacant, x 4087

DIRECTOR'S OFFICE, SUITE 511 (4-6847)

Bill Patrick, x 4104 Clark Rowland, x 4100 Darlene Stewart, (4-3433, x 4094)

WORKERS COMPENSATION SUITE 511 (4-6847) Melissa Clay, x 4095 Angela Gould, x 4096 Jeffrey Hockensmith, x 4097 Valerie McGrapth, x 4098 Debbie Mitchell, x 4099

Donna Shelton, x 4101 Vickie Smitha, x 4102 Paula Spicer, x 4103

TOR'S OFFICE, SUITE 511 (4-7911)

Kim Kain, x 4086

EMPLOYEE BENEFITS

FICE,
847)

3, x 4094)

NSATION

NSATION

NSATION

Mathematical Strict Strict

Tammy Tyson, x 221 COMMUNICATIONS & RECOGNITION SUITE 511 (4-3433), 866-725-5463 WORKPLACE RELATIONS

Ivory Henry, x 4091 COMMUNICATIONS Tina Goodmann, x 4093 Margaret Harney, x 4090

Linda House, x 4092 EMPLOYEE RECOGNITION Debbie Bohannon, x 4000 Mandi Flynn, x 4089

OFFICE FOR PERSONNEL ADMINISTRATION

DIRECTOR'S OFFICE, ROOM 530
Stephany Ivers (4-7571, x 4113) David Cooke (4-2428, x 4114)
EMPLOYEE RECORDS

ROOM 533 (4-6464 or 4-6484)

Kimberly Hatter, x 4116 George Gamble, (4-6873 x 4117, Vacant, x 4810 Rm 531) PROCESSING/FILES, ROOM 531 Carolyn Bruce, 4-6873, x 4126 Sissy Burnham, 4-6873, x 4127 Lisa Case, 4-6873, x 4128 PAYROLL, ROOM 535

(4-6883) Gail Cooper, x 4118 Shannan Goodrich, x 4119 Carol Kelien, x 4120 Jo Ann McAlister, x 4122 Greg McGaughey, x 4123

Yvonne Mahoney, x 4121 Mike Rice, x 4124 Betty Warford, x 4125

d, x 4125 Scanner, x 4133 CLASSIFICATION & COMPENSATION 801 TETON TR (573-0318)

Terry Sullivan, x 237 Jim Lambert, x 222

CLASSIFICATION Peggy Brady, x 223 Carla Gray, x 225

Phyllis Harris, x 227 Vickie Hatchel, x 224 Marilyn Vance, x 233 (573-0325) Regina Edington, x 236 Regina Gravitt, x 235 Johnny Keene, x 234

Rebecca Billings, x 4134
David Cooke (4-2428, x 4114)
EMPLOYMENT COUNSELING
(4-8030)

Shona Alderson, x 4145 Scotty Barker, x 4146 Stuart Clark, x 4147 Kim Combs, x 4148 Mary Greenwell, x 4149 Freda Harris, x 4158 Galen Linville, x 4150 Marilyn Marshall, x 4151 James Mason, x 41 Karen Neeley, x 4153 Rose Nipp, x 4154 Kristy Sturgill, x 4155 Tracy Young, x 4156 Vacant, x 4157

REGISTER, (4-6922) Melissa Baughn, x 4159 Roberta Brownlee, x 4160 Dorothy Burton, x 4161 Cheri Chambers, x 4162 Sharen Fogle, x 4163 Kay Goodwin, x 4164 Beth Hicks, x 4165 Sharon Smither, x 4166 **Kay Wallace, x 4167** Lucy Wheeler, x 4168

Class & Comp (Teton Trail)
Deferred Comp
Employee Records (Rm 535)
Employee Relations (Suite 511)
OPEHI (Room 501)
Health Insurance (Room 503)
KEAP (Bush Building)
Life Insurance (Room 503)
Member Services Branch (Suite 501)
Performance Momt (Teton Trail) Performance Mgmt (Teton Trail)
Secretary's Office (Rm 516)
Staffing Services (Director's Office)
Staffing Services (Register)
Staffing Services (Emp. Cnsel)
Systems Management (Room 529)

Workers Comp (Suite 511) IDMS (Room 529) State Operator Personnel Answer Line Quick Copy Small Conference Room Large Conference Room Conference Room – Teton Trail File Room – Teton Trail Smoke Room – Teton Trail Janitorial Staff

STAFFING SERVICES SUITE 517 (4-6920) Neil Popplewell, x 4135 Vacant, x 4136

Krista Hayden, x 221 Debbie Parido, x 232

APPLICANT PROCESSING (4-8030)

Sandra Darneal, 4-6873, x 4129 Dena McGuire, 4-7543, x 4131 Donna Parker, 4-6873, x 4130 Vacant, x 4132

COMPENSATION

Mark Thompson, x 226
PERFORMANCE MGMT

Tina Black, x 4137 Nina Burger, x 4141 Deborah Cook, x 4157 Denice Driver, x 4138 Denise Jones, x 4139 Farrah Keith, x 4140 Amanda Sewell, x 4142 Robin Smith, x 4143 Flo Warner, x 4144

STAFFING ANALYSIS (4-6702) Marina Alford, x 4169 Yvonne Beghtol, 564-7602, x 4170 Gay Lee, x 4171 Samuel Moore, Sr., x 4172 Kimberly Roush, x 4173 Kevin Shipp, x 4174 Holly Simpson, x 4175

Peggy Smith, x 4176 Vacant, x 4177, 4178, 4179

FAX 573-0324 FAX 573-4494 FAX 564-5826 FAX 564-5826 FAX 564-5278 FAX 564-1085 FAX 564-1085 FAX 564-1085 FAX 564-034 FAX 564-034 FAX 564-0364 FAX 573-0324 FAX 564-7603 FAX 564-588 or 564-5251 FAX 564-5414 FAX 564-5414 FAX 564-5419 FAX 564-9119 564-0198, x 4038 564-3130 564-8339 or 866-725-5463 564-2670 Handset x 4014 Handset x 4015 573-0318, x 238 573-0318, x 239 573-0318, x 242 564-0108 x 4000

564-0198, x 4039